PATENT '

## IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

Wolfgang M. FRANZ et al. Conf.:

2640

Appl. No.:

09/068,751

Group:

1635

Filed:

November 2, 1998

Examiner: M. Schmidt

For:

GENE-THERAPEUTIC NUCLEIC ACID

CONSTRUCT, PRODUCTION OF SAME AND USE

OF SAME IN THE TREATMENT OF HEART

DISEASE

LARGE ENTITY TRANSMITTAL FORM

Assistant Commissioner for Patents Washington, DC 20231

December 4, 2001

Sir:

Transmitted herewith is an amendment in the above-identified application.

| The enclo | sed document | is being   | transmitted | via the | e Certificate |
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|---|---|---|---|---|------------------|-------|-------------------|
| TOTAL   | 26  | - | 58  | = | 0                | \$18  | \$0.00            |
| INDEPENDENT   | 4   | - | 1   | п | 0                | \$84  | \$0.00            |
| FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM \$280 \$0.00 |   |   |   |   |                  |       |                   |
|   |   |   |   |   |                  | TOTAL | \$0.00            |

(Rev. 09/27/01)

|  |   | App1. No. 09/068,/5  |  |  |  |
|--|---|--|--|--|--|
|  |   | month(s) extension of time pursuant to 1.136(a). \$0.00 for the extension of |  |  |  |
| $\boxtimes$  | No fee is required.                                 |  |  |  |  |
|  | Check(s) in the amount                              | of \$0.00 is(are) enclosed.  |  |  |  |
|  | Please charge Deposit A<br>\$0.00. This form is sub | Account No. 02-2448 in the amount of mitted in triplicate.                   |  |  |  |
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|  |   | Respectfully submitted,  |  |  |  |
|  |   | BIRCH, STEWART, KOLASCH & BIRCH, LLF   |  |  |  |
|  |   | By mh/ Nuell, #36,623  |  |  |  |
| DRN/<br>0690   | crt<br>-0110P                                       | P.O. Box 747 Falls Church, VA 22040-0747 (703) 205-8000                      |  |  |  |
|  |   |  |  |  |  |

ATTACHMENT

PATENT Docket No. 0690-0110P

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Wolfgang M. FRANZ et al. Conf: 2640

APPLN. NO.: 09/068,751 GROUP: 1635

FILED: November 2, 1998 EXAMINER: M. Schmidt

FOR: GENE-THERAPEUTIC NUCLEIC ACID CONSTRUCT,

PRODUCTION OF SAME AND USE OF SAME IN THE

TREATMENT OF HEART DISEASE

## **AMENDMENT**

Assistant Commissioner of Patents Washington, DC 20231

December 4, 2001

Sir:

Further to the Request for Continued Examination filed September 28, 2001 and the interview with the Examiner held November 16, 2001, the following amendments and remarks are respectfully submitted.

## In the Specification:

Please replace the second paragraph beginning on page 15, line 7 with the following rewritten paragraph:

-Figs. 10A-C show the nucleic acid sequence of a 2216 base pair-long promoter of the MLC-2v gene of rats (SEQ.ID. NO:1), lying upstream of the transcription starting point (+1). The nucleic acids of positions 1-156 encode for the packaging

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